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Docket No. 0575/57474-A/JPW/ADM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

S I R:

November 12, 2001

Transmitted herewith for filing are the specification and claims of the
patent application of:

Ronald Breslow et al

for

Inventor(s)

BETA-CYCLODEXTRIN DIMERS AND PHTHALOCYANINES AND USES THEREOF

Title of Invention

Also enclosed are:

☒ 5 sheet(s) of informal ☒ formal drawings.

☐ Oath or declaration of Applicant(s).

☐ A power of attorney

☐ An assignment of the invention to _____

☒ A Preliminary Amendment, marked-up version of amended claims (Exhibit A)

☒ A verified statement to establish small entity status under 37 C.F.R.
§1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	20 -20	=	0	X	\$ 9	\$18	= \$0	\$
Independent Claims	2 -3	=	0	X	\$42	\$84	= \$ 0	\$
Multiple Dependent Claims Presented: Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>					\$140	\$280	= \$ 0	\$
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$ 370	\$ 740
					TOTAL FEE		\$ 370	\$

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U.S. Serial No.: Not Yet Known
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☒ A check in the amount of \$ 370.00 to cover the filing fee.

☐ Please charge Deposit Account No. _____ in the amount of \$ _____.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:

☒ Filing fees under 37 C.F.R. \$1.16.

☒ Patent application processing fees under 37 C.F.R. \$1.17.

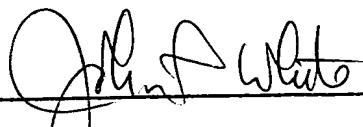
☐ The issue fee set in 37 C.F.R. \$1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. \$1.311(b).

☒ Three copies of this sheet are enclosed.

☐ A certified copy of previously filed foreign application No. _____ filed in _____ on _____.
Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. \$119.

☒ Other (identify) Express Mail Certificate of Mailing bearing Label No.
EL013822549US dated November 12, 2001; duplicate set of
drawings

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ronald Breslow et al.
U.S. Serial No.: Not Yet Known
Filed : Herewith
For : BETA-CYCLODEXTRIN DIMERS AND PHTHALOCYANINES
AND USES THEREOF

1185 Avenue of the Americas
New York, New York 10036
November 12, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: Box Patent Application

Sir:

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FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" Mailing Label Number: EL013822549US
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I hereby certify that this paper or fee is being deposited with the
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Washington, D.C. 20231, ATTN: Box Patent Application.

Printed Name Jamel Khabs

Respectfully submitted,

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